

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C.20231  
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in its capacity as elected Office

Date of mailing:

16 December 1999 (16.12.99)

International application No.:

PCT/SG98/00042

Applicant's or agent's file reference:

International filing date:

09 June 1998 (09.06.98)

Priority date:

Applicant:

LOUDON, Gareth, Hugh et al

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International preliminary Examining Authority on:

11 March 1999 (11.03.99)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
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J. Zahra

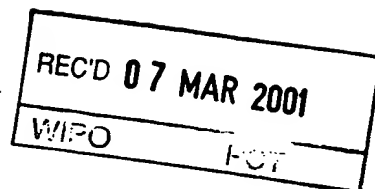
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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/SG 98/00042	International filing date (day/month/year) 9 June 1998 (09.06.1998)	Priority Date (day/month/year)
International Patent Classification (IPC) or national classification and IPC IPC <sup>7</sup> : G06K 9/34, 9/22; G06F 3/02		
Applicant Kent Ridge Digital Labs et al.		

<p>1. This international preliminary examination report has been prepared by this International Preliminary Examination Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of _____ sheets.</p>	
<p>3. This report contains indications relating to the following items:</p> <p>I. <input checked="" type="checkbox"/> Basis of the opinion</p> <p>II. <input type="checkbox"/> Priority</p> <p>III. <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV. <input type="checkbox"/> Lack of unity of invention</p> <p>V. <input checked="" type="checkbox"/> Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI. <input type="checkbox"/> Certain documents cited</p> <p>VII. <input type="checkbox"/> Certain defects in the international application</p> <p>VIII. <input type="checkbox"/> Certain observations on the international application</p>	

Date of submission of the demand 11 March 1999 (11.03.1999)	Date of completion of this report 7 July 2000 (07.07.2000)
Name and mailing address of the IPEA/AT Austrian Patent Office Kohlmarkt 8-10 A-1014 Vienna Facsimile No. 1/53424/200	Authorized officer MIHATSE Telephone No. 1/53424/329

Form PCT/IPEA/409 (cover sheet) (July 1998)

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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SG 98/00042

## I. Basis of the report

### 1. With regard to the elements of the international application:\*

☒ the international application as originally filed

☐ the description:

pages \_\_\_\_\_, as originally filed

pages \_\_\_\_\_, filed with the demand

pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

☐ the claims:

pages \_\_\_\_\_, as originally filed

pages \_\_\_\_\_, as amended (together with any statement) under Article 19

pages \_\_\_\_\_, filed with the demand

pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

☐ the drawings:

pages \_\_\_\_\_, as originally filed

pages \_\_\_\_\_, filed with the demand

pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

☐ the sequence listing part of the description:

pages \_\_\_\_\_, as originally filed

pages \_\_\_\_\_, filed with the demand

pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_.

### 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).

☐ the language of publication of the international application (under Rule 48.3(b)).

☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

### 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

☐ contained in the international application in printed form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

### 4. ☐ The amendments have resulted in the cancellation of:

☐ the description, pages \_\_\_\_\_.

☐ the claims, Nos. \_\_\_\_\_.

☐ the drawings, sheets/fig \_\_\_\_\_.

### 5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as „originally filed“ and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**International application No.  
PCT/SG 98/00042**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. Statement**

Novelty (N)

Claims 1-36 YES

Claims \_\_\_\_\_ NO

Inventive step (IS)

Claims 1-36 YES

Claims \_\_\_\_\_ NO

Industrial applicability (IA)

Claims 1-36 YES

Claims \_\_\_\_\_ NO

**Citations and explanations (Rule 70.7)**

None of the documents cited in the Search Report, or any combination of them, can be considered to anticipate the inventions as defined in claims 1-36. The cited documents describe only the general state of the art, which is not considered to be of particular relevance to the invention.

Therefore, the claims are considered to meet the criteria of novelty, inventive step and industrial applicability.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/SG 98/00042

## A. CLASSIFICATION OF SUBJECT MATTER

IPC<sup>6</sup>: G 06 K 9/34, 9/22; G 06 F 3/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC<sup>6</sup>: G 06 K, G 06 F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 686 931 A1 (XEROX) 13 December 1995 (13.12.95), claims 1,9,10; fig. 1,2.	1,10
A	US 5 724 445 A (NIKI) 03 March 1998 (03.03.98), claim 1; fig. 1,5,12.	1,2,10,11
A	WO 95/15 535 A1 (MOTOROLA) 08 June 1995 (08.06.95), abstract; fig. 1-3.	1
A	EP 0 371 596 A2 (TOSHIBA) 06 June 1990 (06.06.90), abstract; fig. 1.	10
A	WO 86/00 444 A1 (BOUKRIS) 16 January 1986 (16.01.86), abstract; fig. 2.	1,10
A	EP 0 645 730 A1 (AST RESEARCH) 29 March 1995 (29.03.95), abstract.	10
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☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

05 February 1999 (05.02.99)

Date of mailing of the international search report

18 February 1999 (18.02.99)

Name and mailing address of the ISA/ AT  
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Kohlmarkt 8-10; A-1014 Vienna  
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Authorized officer

Mihatsek

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# INTERNATIONAL SEARCH REPORT

Intern. application No.

PCT/SG 98/00042

In Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
EP A2 686931	13-12-95	EP A3 686931 JP A2 7334296 US A 5687254	08-01-97 22-12-95 11-11-97
US A 5724445	03-03-98	EP A2 528544 EP A3 528544 JP A2 5028319 JP B2 2821285	24-02-93 21-07-93 05-02-93 05-11-98
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EP A2 371596	06-06-90	CA AA 2002549 CA C 2002549 DE C0 68914603 DE T2 68914603 EP A3 371596 EP B1 371596 JP A2 2146614	29-05-90 15-03-94 19-05-94 29-09-94 29-01-92 13-04-94 05-06-90
WO A1 B600444	16-01-86	AT E 61492 DE C0 3582049 EP A1 187775 EP B1 187775 FR A1 2566556 FR B1 2566556 JP T2 61502569	15-03-91 11-04-91 23-07-86 06-03-91 27-12-85 21-09-90 06-11-86
EP A1 645730	29-03-95	US A 4972496 DE C0 3752121 DE T2 3752121 EP A2 254561 EP A3 254561 EP A1 645731 EP B1 254561 FR A1 2602069 GB A1 2193827 GB A0 9018054 GB A0 9018055 GB A0 9019253 GB A0 9019254 GB A1 2234101 GB A1 2234102 GB B2 2193827 GB B2 2234101 GB B2 2234102 HK A 1874/95 HK A 1875/95 HK A 10/96 JP A2 63184130 JP B4 6005498 JP A2 6259184 KR B1 9202255 SG A 549794 SG A1 52339 US A 5157737 US A 5297216 US A 5365598 CA A1 1302572 CA A2 1325481 GB A0 8717453	20-11-90 06-11-97 16-04-98 27-01-88 18-10-89 29-03-95 01-10-97 29-01-88 17-02-88 03-10-90 03-10-90 17-10-90 23-01-91 23-01-91 10-04-91 24-04-91 24-04-91 22-12-95 22-12-95 12-01-96 29-07-88 19-01-94 16-09-94 20-03-92 17-03-93 28-09-98 20-10-92 22-03-94 15-11-94 02-06-92 21-12-93 26-08-87

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>G06K 9/34, 9/22, G06F 3/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/64982</b> <b>(43) International Publication Date:</b> 16 December 1999 (16.12.99)
<b>(21) International Application Number:</b> PCT/SG98/00042 <b>(22) International Filing Date:</b> 9 June 1998 (09.06.98)  <b>(71) Applicants (for all designated States except US):</b> KENT RIDGE DIGITAL LABS [SG/SG]; 21 Heng Mui Keng Terrace, Singapore 119613 (SG). APPLE COMPUTER INC. [US/US]; 1 Infinite Loop, Cupertino, CA 95014 (US).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> LOUDON, Gareth, Hugh [GB/SG]; 61 Jalan Puteh Jerneh, Singapore 278077 (SG). TNG, Tai, Hou [SG/SG]; Apt Blk 405, #12-02 Bukit Batok West Avenue 7, Singapore 650405 (SG). CHEN, Hong [CN/US]; 627, 39th Avenue, San Francisco, CA 94121 (US).  <b>(74) Agent:</b> K. T. LIM & COMPANY; Tong Eng Building, 101 Cecil Street #25-06, Singapore 069533 (SG).		<b>(81) Designated States:</b> CN, JP, KR, SG, US.  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> A RECOGNITION PROCESS  <b>(57) Abstract</b>  A recognition process for handwritten characters, including generating sub-segments representing at least one character stroke, the sub-segments each having a boundary enclosing the at least one stroke of the sub-segment, merging the sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold, and generating segments representing possible characters from the sub-segments.		

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## A RECOGNITION PROCESS

5 The present invention relates to a recognition process, and in particular to a process for the recognition of handwritten ideographic scripts, such as Chinese and Japanese, by computer processing equipment.

In addition to the large number of characters for ideographic languages, there are a  
10 number of difficulties inherent in the segmentation and recognition of ideographic scripts, such as Chinese and Japanese. For instance, in Chinese handwriting most of the ideographic characters consist of more than two radicals, where the radicals are sub-components of a character. Any of the radicals can also be individual characters by themselves. This makes segmentation difficult because the individual character  
15 recognition result is valid even if a character is inadvertently segmented into several radicals. Accordingly, linguistic knowledge is normally required to distinguish or determine correct segmentation of handwritten strokes, in the same manner as a person would group radicals into a character by the meaning and context.

20 Furthermore, in free handwriting, the space between characters and the space between radicals vary considerably. Although it is common for a writer to leave a larger gap between characters and between radicals, this spatial information is not normally reliable and in many cases there are no spaces at all between characters. Previous recognition processes and equipment have dealt with this problem by  
25 requiring the writer to enter characters in a restricted space or in a defined manner. This may involve entering characters in boxes on a display screen, or the writer having to follow guides on a screen which force the writer to write characters with a clear separation and definition. Alternatively, a writer needs to predefine the space placed between characters to avoid incorrect segmentation of character strokes. Processing  
30 of the written characters for character recognition is then not normally performed until a complete sentence is written.

- 2 -

An additional difficulty is that Chinese characters are written either horizontally or vertically.

Accordingly, it is desired to provide a recognition process which allows a person to  
5 write naturally in a continuous manner, without restrictions imposed by the process, and which performs segmentation of characters during the writing process, or at least provides a useful alternative.

In accordance with the present invention there is provided a recognition process for  
10 handwritten characters, including:

generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;

merging said sub-segments when the distance between centroids of the sub-  
15 segments are less than a predetermined threshold; and

generating segments representing possible characters from said sub-segments.

The present invention further provides a recognition apparatus having:

means for inputting character strokes;

20 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;

means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

25 means for generating segments representing possible characters from said sub-segments.

The present invention also provides a recognition module stored on a computer readable storage medium, having:

30 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the

- 3 -

sub-segment;

means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

means for generating segments representing possible characters from said sub-  
5 segments.

A preferred embodiment of the present invention is hereinafter described, by way of example only, with reference to the accompanying drawings, wherein:

Figure 1 is a block diagram of a preferred embodiment of a recognition  
10 apparatus;

Figure 2 is a flow diagram of a preferred embodiment of a recognition process;

Figures 3a and 3b are diagrams of characters having strokes in sub-segments;

Figure 4 is a diagram of a character having three sub-segments;

Figure 5 is a diagram of three segments generated from a character;

15 Figure 6 is a diagram of segments generated for two overlapping characters;

Figure 7 is a diagram of two adjacent characters having radicals spaced further  
apart than the characters;

Figure 8 is a diagram of segments generated for the characters of Figure 6; and

Figure 9 is a diagram of a string of characters recognisable by the recognition  
20 process.

A general purpose computer system 1, the components of which are shown in Figure 1, can be used to form a recognition apparatus which implements or executes a recognition process 2 described below. The computer system 1 includes a central  
25 processing unit (CPU) 3, a display device 5, an input device 7 and a memory 9 interconnected via a bus 11. The display device 5, such as a CRT monitor, an LCD screen, plasma display or other display mechanism, provides a visual display of information that is processed within the computer system. The memory 9 generally includes a main memory which is typically implemented in the form of a random access  
30 memory, a static memory that can comprise a read only memory and a permanent storage device such as a magnetic or optical disk or other suitable computer-readable

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media. The input device 7 can be any input device, such as a writing tablet, which allows the entry of handwritten character strokes. The computer system 1 is exemplary and it will be appreciated that other computer systems with other configurations can be used. Examples of computer systems which can be used include, but are not limited to, the Newton Message Pad by Apple Computer, Inc., the PalmPilot produced by 5 Com and a Power Mac 7500 with a WACOM tablet.

A handwriting recognition process 2, as shown in Figure 2, can be executed on the computer system 1 of Figure 1. Typically, instructions for causing the CPU 3 to 10 perform the steps of the process 2 are stored in memory 9, e.g. permanently stored on computer-readable media and loaded into random access memory for execution during the operation of the system 1.

The recognition process 2 begins execution at step 4 where it polls for entry of a new 15 handwritten stroke by a user of the equipment. If a new stroke is detected at step 4, processing proceeds to a basic segmentation module at step 6.

The basic segmentation module executes a number of processing steps to perform basic segmentation of handwritten strokes. For every newly written stroke, which is 20 defined as the movement of a pen or stylus across the screen or tablet between touching the screen or tablet and leaving the screen or tablet, a check is made to see if the new stroke is surrounded by a previous group of strokes, which form a sub-segment. As shown in Figure 3a, a new stroke 10 may be surrounded by a group of previous strokes 12, or as shown in Figure 3b, a new stroke 14 may be sufficiently 25 removed from a previous group of strokes 16. Bounding boxes 18 and 20 of the previous sub-segments, and bounding boxes 22 and 24 for the new strokes are determined and used to decide if the new stroke is surrounded by previous strokes. A new stroke 10 contained in the bounding box of a previous sub-segment 12, joins or is merged with that sub-segment 12. If the new stroke 14 is not surrounded by the 30 previous strokes 16, a distance between the centroids 26 and 28 of the bounding boxes 20 and 24 is determined. If the distance is less than a predetermined threshold,

- 5 -

then the new stroke 24 is added to the sub-segment 16, and the centroid 26 and bounding box 20 of the sub-segment are updated. If the distance is greater than the set threshold, a new sub-segment is created. Sub-segments are used to form segments representing a possible character, as described below.

5

Using the distance between centroids of a new stroke and a group of previous strokes, being the last sub-segment, as the criteria for grouping or segmenting strokes into possible character segments allows for the overlap of strokes from different characters. Figure 6 shows an example where although two characters have  
10 overlapping strokes, and overlapping bounding boxes 30 and 32, the characters are segmented correctly and are not treated as one character because the distance between the centroids of the bounding boxes 30 and 32 exceeds the predetermined threshold. This allows users to write characters which overlap with each other and still achieve correct segmentation, thereby catering for the normal manner in which people  
15 handwrite sentences in Chinese or other ideographic scripts.

When a new sub-segment is created a check is made to determine how many sub-segments already exist. If there are four sub-segments, the three previous sub-segments are processed to determine if any combination of them can be merged into  
20 one sub-segment or if any segment hypothesis can be formed. A merger of sub-segments is determined, as discussed above, by analysing the distance between the centroids of the sub-segments. A segment hypothesis represents a possible character, and if a hypothesis relating to a character can be determined from a sub-segment or group of sub-segments, then a segment, or segment hypothesis, is created. Segments  
25 are created based on basic data concerning the characters, such as number of strokes and shape. The segment hypothesis, which includes data defining the segment, is ultimately passed to a character recognition module, as described below.

The use of a buffer of three sub-segments is important for the on-line segmentation  
30 recognition process 2, as it allows for the correct formation of segments. For instance, with reference to the character 34 shown in Figure 4, the character has three sub-

- 6 -

segments 36, 38 and 40. For a certain threshold, it is only when the last stroke of the sub-segment 40 is written that the distances between the centroids of the sub-segments 36 to 40 are small enough to result in the merger of the three sub-segments into one segment for the character. If a buffer for the two past sub-segments 36 and 38 had not been kept, then these two sub-segments 36 and 38 will be split into separate segments. The basic segmentation module therefore allows correct segmentation to be executed in real-time, that is whilst the person is writing the characters in a sentence.

10 The basic segmentation module processes the stored sub-segments and each new stroke using at least eight different threshold levels for the centroid distances, ranging from small to large distances, for every new stroke. This allows the process to produce a number of segments from each character which each provide a segment hypothesis. At the end of step 6 for each new stroke, any new hypothesis formed by the basic segmentation module is determined at step 42, and any new hypothesis is passed to the character recognition module at step 44. The character recognition module, at step 44, executes character recognition for the segments it receives and produces a segment hypothesis list, each record in the list representing a unique segment, a possible character, and a character probability value. Processing then returns to step 4 to determine if a new stroke is received.

The use of multiple thresholds and the creation of multiple hypotheses is particularly advantageous as character sizes can range from being very small, where a small threshold is required, to very large to where a large threshold is required. Also the distances between characters and strokes within a character can vary significantly. The use of the multiple thresholds enables the variations to be catered for, and the correct determination made from a number of possibly valid hypotheses. For instance, the character 46, as shown in Figure 3b, can give rise to three possible segments 48, 50 and 52, as shown in Figure 5.

30

When the recognition process 2 determines at step 4 that the writer has paused for a

- 7 -

predetermined time, indicating all strokes of a sentence have been written, processing proceeds to a segmentation post-processing module at step 54. The segmentation post-processing module performs further processing on the segments in the segment hypothesis list produced by the basic segmentation module, in order to merge some  
5 of the adjacent segments. This is required to cater for the situation where the centroid distance between segments, which contain radicals of a character, is larger than the centroid distance between segments containing characters. When this occurs, a large threshold value used by the basic segmentation module groups together two characters, whereas a smaller threshold value splits the characters into radicals. This  
10 is illustrated in Figure 7 for two characters 56 and 58 which are separated by a distance which is smaller than the distance between the radicals 60, 62, 64 and 66 of each character.

The segmentation post-processing module merges adjacent segments if the merged  
15 segment has a bounding box with a height to width ratio that is closer to the value of one. The basis for this rule is that ideographic characters are usually square in nature. Therefore if the result of merging two adjoining segments makes the merged segment more square in shape, then the post-processing module accepts this as a valid new segment. This is particularly advantageous for recognising characters which are  
20 written closer together. With reference to the characters 56 and 58 shown in Figure 7, the post-processing module would produce two new segments 68 and 70 which have a height to width ratio closer to the value of one, as shown in Figure 8. Character recognition is then performed on the new segments, using the character recognition module, at step 72, and the character recognition module updates the segment list  
25 accordingly. The character recognition module which the recognition process uses is a module which executes the recognition process described in U.S. Patent Application No. 08/652,160, by G.H. Loudon, Y.M. Wu and J.A. Pittman, entitled "Methods and Apparatuses for Handwriting Recognition", herein incorporated by reference.

30 After the updated segment list has been formed with a character hypothesis for each segment, a lattice is generated at step 74 from the segment list based on the time

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sequence of the segments, in order to provide a lattice which can then be decoded at step 76 by a search process described in International Patent Application No. PCT/SG97/00061, by Y.L. Chow, entitled "A Method of Continuous Language Recognition", herein incorporated by reference. The decoding process of step 76 finds  
5 the most likely character sequence of the completed sentence by using the character hypotheses, which have respective probability values, in combination with a language model. The decoding process produces recognised text, which can be displayed by the processing equipment.

- 10 The recognition process 2 is a real-time process which provides an accuracy of about 90% for character recognition without requiring any rules to be imposed on the method and style of writing, particularly with regard to the spacing between characters.

Many modifications will be apparent to those skilled in the art without departing from  
15 the scope of the present invention as hereinbefore described with reference to the accompanying drawings.



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## CLAIMS:

1. A recognition process for handwritten characters, including:  
generating sub-segments representing at least one character stroke, said sub-  
5 segments each having a boundary enclosing the at least one stroke of the sub-  
segment;  
merging said sub-segments when the distance between centroids of the sub-  
segments are less than a predetermined threshold; and  
generating segments representing possible characters from said sub-segments.  
10
2. A recognition process as claimed in claim 1, including processing a new  
character stroke by including said new stroke in one of said sub-segments when said  
stroke is within the boundary of said one of said sub-segments and generating a new  
sub-segment including said new stroke when said new stroke is outside of said  
15 boundary.
3. A recognition process as claimed in claims 1 or 2, wherein generating one of  
said segments includes processing at least three previously generated sub-segments.
- 20 4. A recognition process as claimed in claim 3, wherein said merging step includes  
merging said sub-segments using a plurality of predetermined thresholds for said  
distance.
5. A recognition process as claimed in claim 4, wherein said thresholds represent  
25 a range of distance values.
6. A recognition process as claimed in claim 1, including merging adjacent  
segments which produce a merged boundary having a height to width ratio closer to  
one than the separate boundaries of the adjacent segments.

30

- 10 -

7. A recognition process as claimed in claim 1, 2, 4, 5 or 6, including performing character recognition on said segments to generate a segment list representing said segments and respective possible characters associated with said segments.
- 5 8. A recognition process as claimed in claim 7, including generating a time sequence representation of said possible characters from said segment list and processing said time sequence representation using a language model to generate text representing recognised characters.
- 10 9. A recognition process as claimed in claim 1, wherein said generating and merging steps are executed in the real-time as character strokes are written.
10. A recognition apparatus having:
- means for inputting character strokes;
- 15 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;
- means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and
- 20 means for generating segments representing possible characters from said sub-segments.
11. A recognition apparatus as claimed in claim 10, including means for processing a new character stroke by including said new stroke in one of said sub-segments when
- 25 said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said boundary.
12. A recognition apparatus as claimed in claims 10 or 11, wherein said merging
- 30 means and said segment means process at least three previously generated sub-segments.

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13. A recognition apparatus as claimed in claim 12, wherein said merging means merges said sub-segments using a plurality of predetermined thresholds for said distance.
- 5 14. A recognition apparatus as claimed in claim 13, wherein said thresholds represent a range of distance values.
15. A recognition apparatus as claimed in claim 10, including means for merging adjacent segments which produce a merged boundary having a height to width ratio  
10 closer to one than the separate boundaries of the adjacent segments.
16. A recognition apparatus as claimed in claim 10, 11, 13, 14 or 15, including character recognition means for performing character recognition on said segments to generate a segment list representing said segments and respective possible  
15 characters associated with the segments.
17. A recognition apparatus as claimed in claim 16, including means for generating a time sequence representation of said possible characters from said segment list and for processing said time sequence representation using a language model to generate  
20 text representing recognised characters, and means for displaying said text.
18. A recognition apparatus as claimed in claim 11, having a segmentation unit which includes said sub-segment generating means, said merging means, said segment generating means and said new character stroke processing means, and  
25 which operates in real-time for each written character stroke.
19. A recognition module stored on a computer readable storage medium, having:  
means for generating sub-segments representing at least one character stroke,  
said sub-segments each having a boundary enclosing the at least one stroke of the  
30 sub-segment;

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means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

means for generating segments representing possible characters from said sub-segments.

5

20. A recognition module as claimed in claim 19, including means for processing a new character stroke by including said new stroke in one of said sub-segments when said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said  
10 boundary.

15

21. A recognition module as claimed in claims 19 or 20, wherein said merging means and said segment means process at least three previously generated sub-segments.

22. A recognition module as claimed in claim 21, wherein said merging means merges said sub-segments using a plurality of predetermined thresholds for said distance.

20 23. A recognition module as claimed in claim 22, wherein said thresholds represent a range of distance values.

24. A recognition module as claimed in claim 19, including means for merging adjacent segments which produce a merged boundary having a height to width ratio  
25 closer to one than the separate boundaries of the adjacent segments.

25. A recognition module as claimed in claim 19, 20, 22, 23 or 24, including character recognition means for performing character recognition on said segments to generate a segment list representing said segments and respective possible  
30 characters associated with the segments.

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26. A recognition module as claimed in claim 25, including means for generating a time sequence representation of said possible characters from said segment list and for processing said time sequence representation using a language model to generate text representing recognised characters.

5

27. A recognition module as claimed in claim 20, having a segmentation module which includes said sub-segment generating means, said merging means, said segment generating means and said new character stroke processing means, and which is executed in real-time for each written character stroke.

10

28. A computer-readable medium containing executable instructions for performing the steps of:

generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-  
15 segment;

merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

generating segments representing possible characters from said sub-segments.

20 29. A computer-readable medium as claimed in claim 1, wherein the steps performed include processing a new character stroke by including said new stroke in one of said sub-segments when said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said boundary.

25

30. A computer-readable medium as claimed in claim 28 or 29, wherein the step of generating one of said segments includes processing at least three previously generated sub-segments.

30 31. A computer-readable medium as claimed in claim 30, wherein said merging step includes merging said sub-segments using a plurality of predetermined thresholds for

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said distance.

32. A computer-readable medium as claimed in claim 31, wherein said thresholds represent a range of distance values.

5

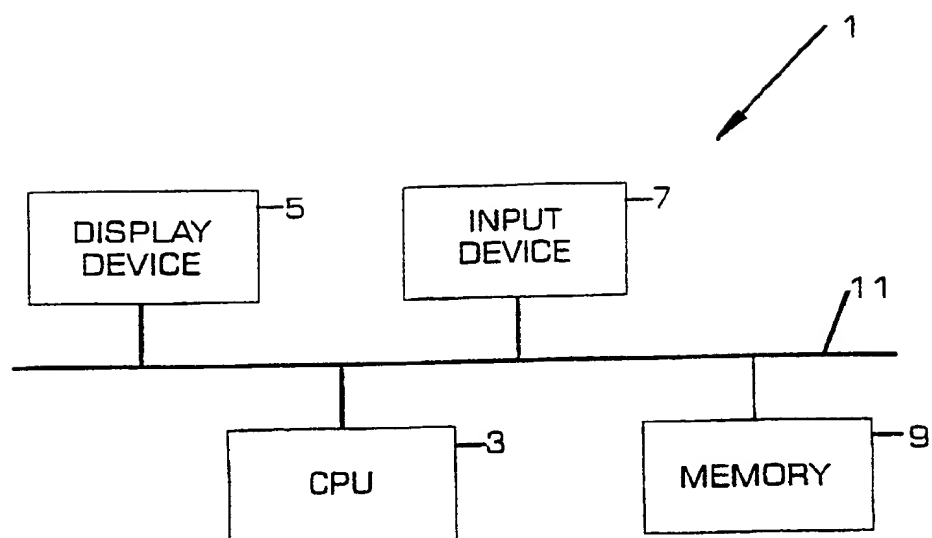
33. A computer-readable medium as claimed in claim 28, including the step of merging adjacent segments with produce a merged boundary having a height to width ratio closer to one than the separate boundaries of the adjacent segments.

10 34. A computer-readable medium as claimed in claims 28, 29, 31, 32 or 33, including the step of performing character recognition on said segments to generate a segment list representing said segments and respective possible characters associated with said segments.

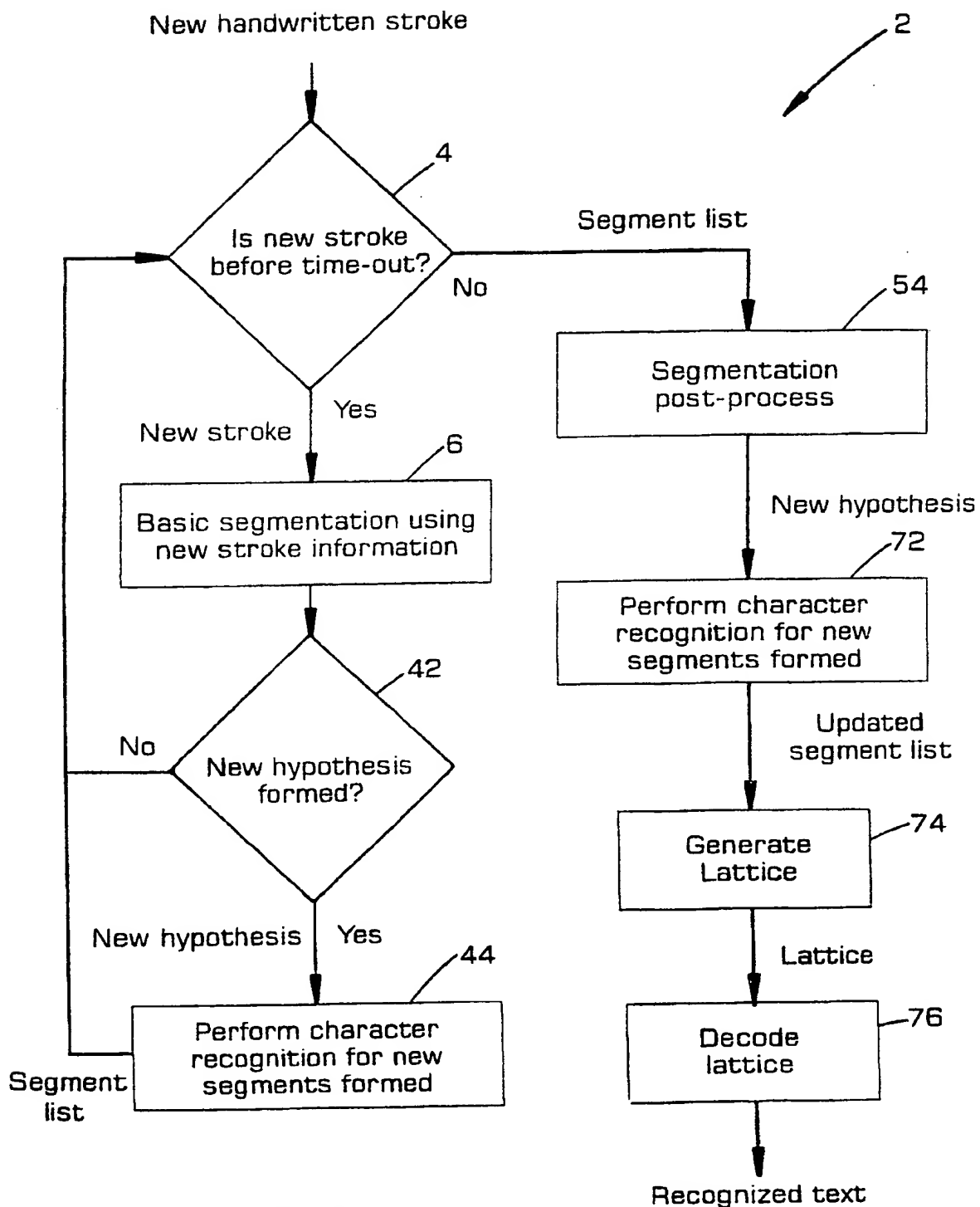
15 35. A computer-readable medium as claimed in claim 34, including the step of generating a time sequence representation of said possible characters from said segment list and processing said time sequence representation using a language model to generate text representing recognised characters.

20 36. A computer-readable medium as claimed in claim 28, wherein said generating and merging steps are executed in the real-time as character strokes are written.

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**FIGURE 1**

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**FIGURE 2**



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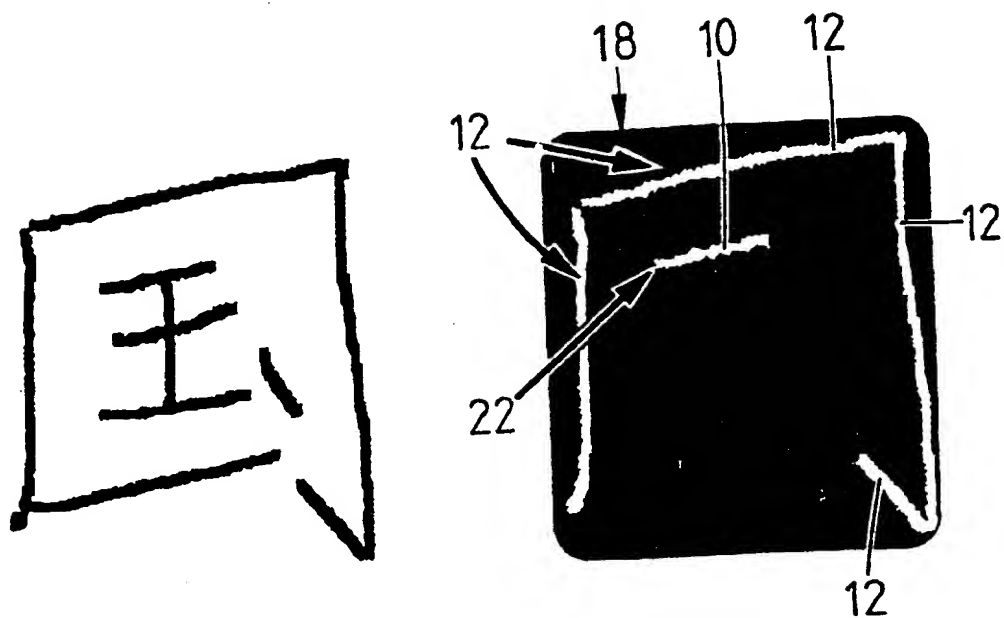


FIG 3A

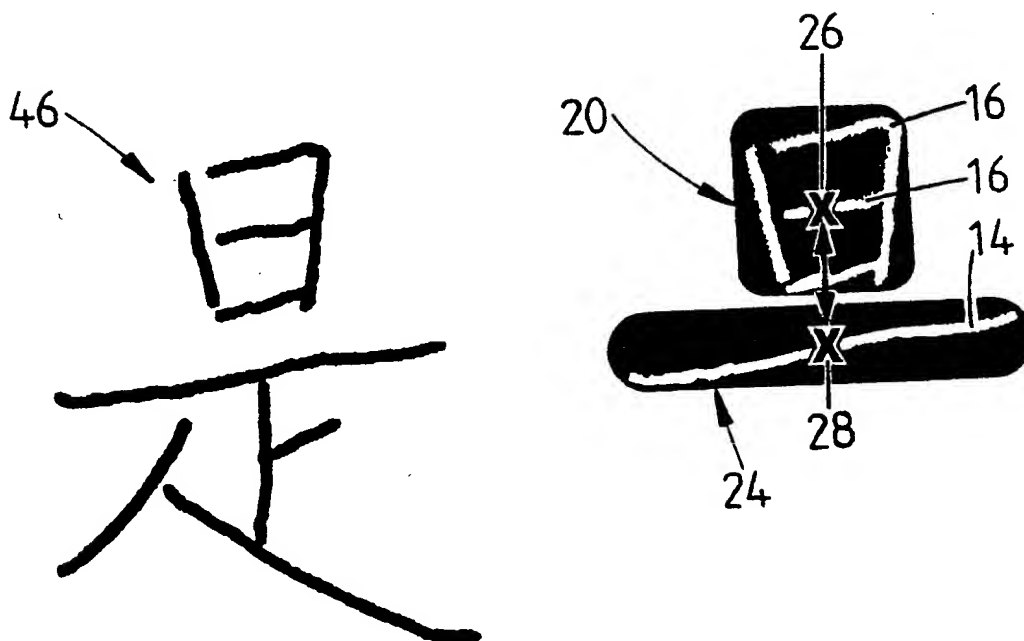


FIG 3B

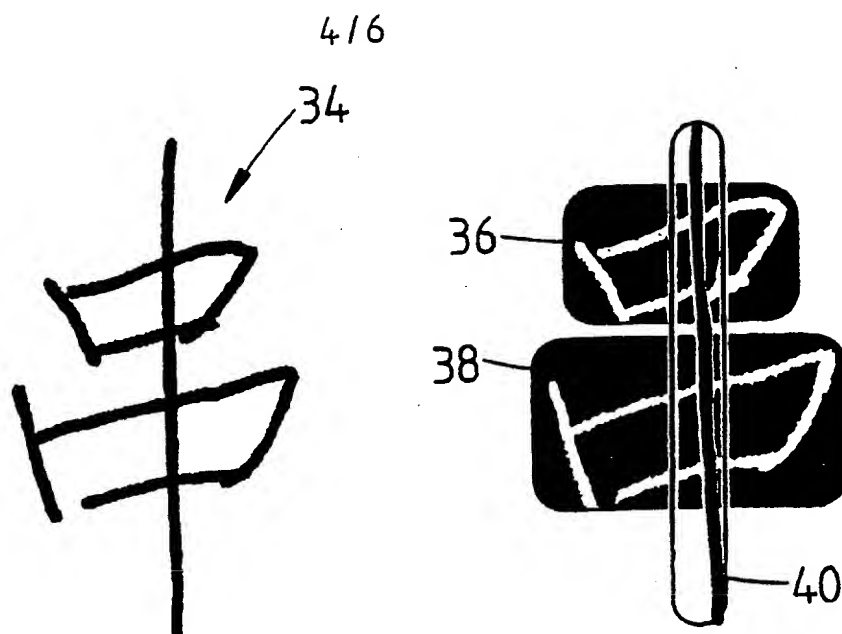


FIG 4

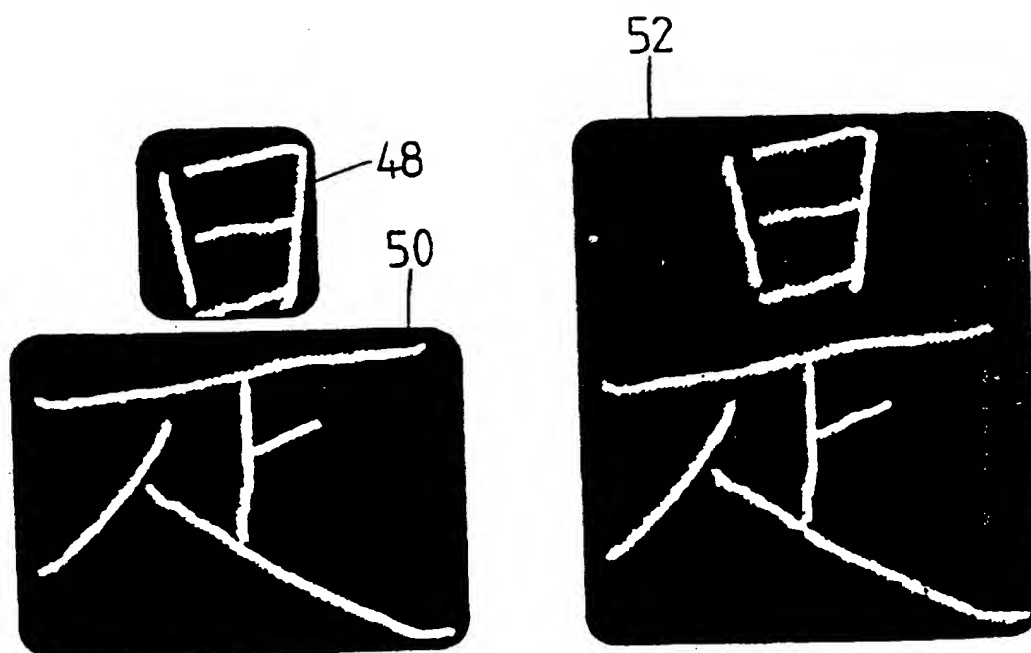


FIG 5

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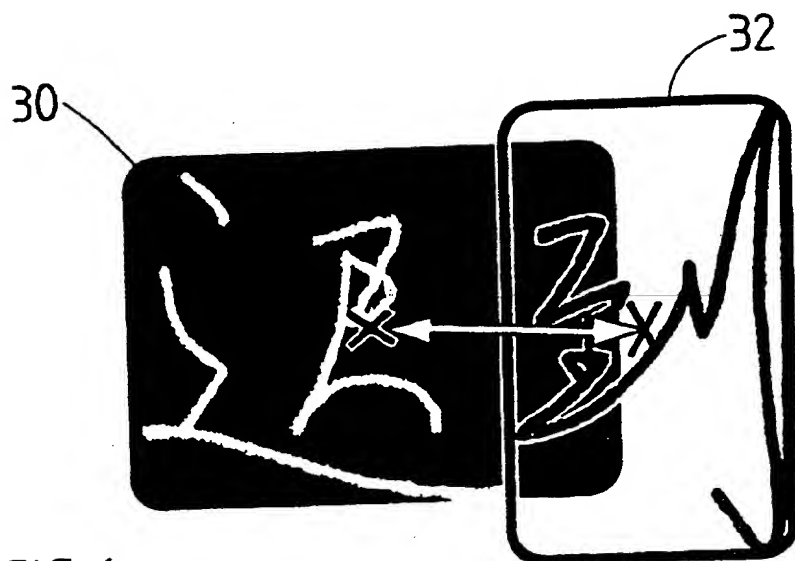


FIG 6

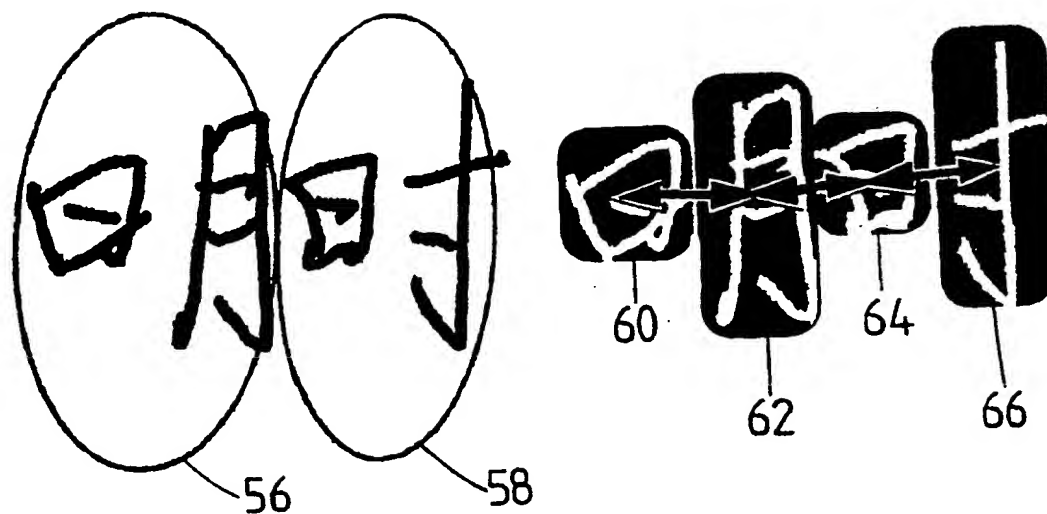


FIG 7

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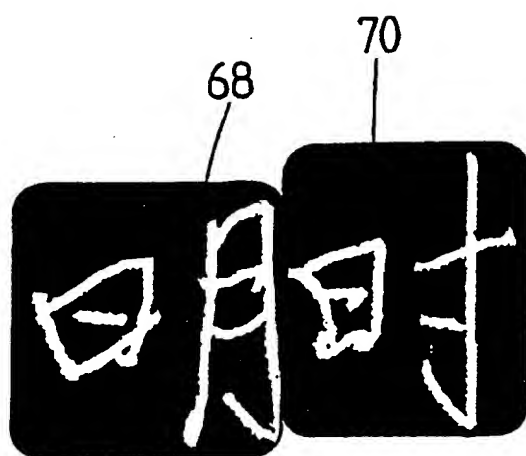


FIG 8

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FIG 9

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/SG 98/00042

## A. CLASSIFICATION OF SUBJECT MATTER

IPC<sup>6</sup>: G 06 K 9/34, 9/22; G 06 F 3/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC<sup>6</sup>: G 06 K, G 06 F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPODOC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 686 931 A1 (XEROX) 13 December 1995 (13.12.95), claims 1,9,10; fig. 1,2.	1,10
A	US 5 724 445 A (NIKI) 03 March 1998 (03.03.98), claim 1; fig. 1,5,12.	1,2,10,11
A	WO 95/15 535 A1 (MOTOROLA) 08 June 1995 (08.06.95), abstract; fig. 1-3.	1
A	EP 0 371 596 A2 (TOSHIBA) 06 June 1990 (06.06.90), abstract; fig. 1.	10
A	WO 86/00 444 A1 (BOUKRIS) 16 January 1986 (16.01.86), abstract; fig. 2.	1,10
A	EP 0 645 730 A1 (AST RESEARCH) 29 March 1995 (29.03.95), abstract.	10
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☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

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"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

05 February 1999 (05.02.99)

Date of mailing of the international search report

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1/53424329

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SG 98/00042

In Recherchenbericht angeführtes Patentdokument Patent document cited in search report Document de brevet cité dans le rapport de recherche	Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
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WO A1 B600444	16-01-86	AT E 61492 DE CO 3582049 EP A1 187775 EP B1 187775 FR A1 2566556 FR B1 2566556 JP T2 61502569	15-03-91 11-04-91 23-07-96 06-03-91 27-12-85 21-09-90 06-11-86
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## A RECOGNITION PROCESS

- 5 The present invention relates to a recognition process, and in particular to a process for the recognition of handwritten ideographic scripts, such as Chinese and Japanese, by computer processing equipment.

In addition to the large number of characters for ideographic languages, there are a  
10 number of difficulties inherent in the segmentation and recognition of ideographic scripts, such as Chinese and Japanese. For instance, in Chinese handwriting most of the ideographic characters consist of more than two radicals, where the radicals are sub-components of a character. Any of the radicals can also be individual characters by themselves. This makes segmentation difficult because the individual character  
15 recognition result is valid even if a character is inadvertently segmented into several radicals. Accordingly, linguistic knowledge is normally required to distinguish or determine correct segmentation of handwritten strokes, in the same manner as a person would group radicals into a character by the meaning and context.

20 Furthermore, in free handwriting, the space between characters and the space between radicals vary considerably. Although it is common for a writer to leave a larger gap between characters and between radicals, this spatial information is not normally reliable and in many cases there are no spaces at all between characters. Previous recognition processes and equipment have dealt with this problem by  
25 requiring the writer to enter characters in a restricted space or in a defined manner. This may involve entering characters in boxes on a display screen, or the writer having to follow guides on a screen which force the writer to write characters with a clear separation and definition. Alternatively, a writer needs to predefine the space placed between characters to avoid incorrect segmentation of character strokes. Processing  
30 of the written characters for character recognition is then not normally performed until a complete sentence is written.

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An additional difficulty is that Chinese characters are written either horizontally or vertically.

Accordingly, it is desired to provide a recognition process which allows a person to  
5 write naturally in a continuous manner, without restrictions imposed by the process, and which performs segmentation of characters during the writing process, or at least provides a useful alternative.

In accordance with the present invention there is provided a recognition process for  
10 handwritten characters, including:

- generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;

- merging said sub-segments when the distance between centroids of the sub-  
15 segments are less than a predetermined threshold; and

- generating segments representing possible characters from said sub-segments.

The present invention further provides a recognition apparatus having:

- means for inputting character strokes;

- 20 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;

- means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

- 25 means for generating segments representing possible characters from said sub-segments.

The present invention also provides a recognition module stored on a computer readable storage medium, having:

- 30 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the



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sub-segment;

means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

means for generating segments representing possible characters from said sub-  
5 segments.

A preferred embodiment of the present invention is hereinafter described, by way of example only, with reference to the accompanying drawings, wherein:

Figure 1 is a block diagram of a preferred embodiment of a recognition  
10 apparatus;

Figure 2 is a flow diagram of a preferred embodiment of a recognition process;

Figures 3a and 3b are diagrams of characters having strokes in sub-segments;

Figure 4 is a diagram of a character having three sub-segments;

Figure 5 is a diagram of three segments generated from a character;

15 Figure 6 is a diagram of segments generated for two overlapping characters;

Figure 7 is a diagram of two adjacent characters having radicals spaced further apart than the characters;

Figure 8 is a diagram of segments generated for the characters of Figure 6; and

Figure 9 is a diagram of a string of characters recognisable by the recognition  
20 process.

A general purpose computer system 1, the components of which are shown in Figure 1, can be used to form a recognition apparatus which implements or executes a recognition process 2 described below. The computer system 1 includes a central  
25 processing unit (CPU) 3, a display device 5, an input device 7 and a memory 9 interconnected via a bus 11. The display device 5, such as a CRT monitor, an LCD screen, plasma display or other display mechanism, provides a visual display of information that is processed within the computer system. The memory 9 generally includes a main memory which is typically implemented in the form of a random access  
30 memory, a static memory that can comprise a read only memory and a permanent storage device such as a magnetic or optical disk or other suitable computer-readable

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media. The input device 7 can be any input device, such as a writing tablet, which allows the entry of handwritten character strokes. The computer system 1 is exemplary and it will be appreciated that other computer systems with other configurations can be used. Examples of computer systems which can be used include, but are not limited to, the Newton Message Pad by Apple Computer, Inc., the PalmPilot produced by 5 Com and a Power Mac 7500 with a WACOM tablet.

A handwriting recognition process 2, as shown in Figure 2, can be executed on the computer system 1 of Figure 1. Typically, instructions for causing the CPU 3 to 10 perform the steps of the process 2 are stored in memory 9, e.g. permanently stored on computer-readable media and loaded into random access memory for execution during the operation of the system 1.

The recognition process 2 begins execution at step 4 where it polls for entry of a new 15 handwritten stroke by a user of the equipment. If a new stroke is detected at step 4, processing proceeds to a basic segmentation module at step 6.

The basic segmentation module executes a number of processing steps to perform basic segmentation of handwritten strokes. For every newly written stroke, which is 20 defined as the movement of a pen or stylus across the screen or tablet between touching the screen or tablet and leaving the screen or tablet, a check is made to see if the new stroke is surrounded by a previous group of strokes, which form a sub-segment. As shown in Figure 3a, a new stroke 10 may be surrounded by a group of previous strokes 12, or as shown in Figure 3b, a new stroke 14 may be sufficiently 25 removed from a previous group of strokes 16. Bounding boxes 18 and 20 of the previous sub-segments, and bounding boxes 22 and 24 for the new strokes are determined and used to decide if the new stroke is surrounded by previous strokes. A new stroke 10 contained in the bounding box of a previous sub-segment 12, joins or is merged with that sub-segment 12. If the new stroke 14 is not surrounded by the 30 previous strokes 16, a distance between the centroids 26 and 28 of the bounding boxes 20 and 24 is determined. If the distance is less than a predetermined threshold,

- 5 -

then the new stroke 24 is added to the sub-segment 16, and the centroid 26 and bounding box 20 of the sub-segment are updated. If the distance is greater than the set threshold, a new sub-segment is created. Sub-segments are used to form segments representing a possible character, as described below.

5

Using the distance between centroids of a new stroke and a group of previous strokes, being the last sub-segment, as the criteria for grouping or segmenting strokes into possible character segments allows for the overlap of strokes from different characters. Figure 6 shows an example where although two characters have  
10 overlapping strokes, and overlapping bounding boxes 30 and 32, the characters are segmented correctly and are not treated as one character because the distance between the centroids of the bounding boxes 30 and 32 exceeds the predetermined threshold. This allows users to write characters which overlap with each other and still achieve correct segmentation, thereby catering for the normal manner in which people  
15 handwrite sentences in Chinese or other ideographic scripts.

When a new sub-segment is created a check is made to determine how many sub-segments already exist. If there are four sub-segments, the three previous sub-segments are processed to determine if any combination of them can be merged into  
20 one sub-segment or if any segment hypothesis can be formed. A merger of sub-segments is determined, as discussed above, by analysing the distance between the centroids of the sub-segments. A segment hypothesis represents a possible character, and if a hypothesis relating to a character can be determined from a sub-segment or group of sub-segments, then a segment, or segment hypothesis, is created. Segments  
25 are created based on basic data concerning the characters, such as number of strokes and shape. The segment hypothesis, which includes data defining the segment, is ultimately passed to a character recognition module, as described below.

The use of a buffer of three sub-segments is important for the on-line segmentation  
30 recognition process 2, as it allows for the correct formation of segments. For instance, with reference to the character 34 shown in Figure 4, the character has three sub-

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segments 36, 38 and 40. For a certain threshold, it is only when the last stroke of the sub-segment 40 is written that the distances between the centroids of the sub-segments 36 to 40 are small enough to result in the merger of the three sub-segments into one segment for the character. If a buffer for the two past sub-segments 36 and 38 had not been kept, then these two sub-segments 36 and 38 will be split into separate segments. The basic segmentation module therefore allows correct segmentation to be executed in real-time, that is whilst the person is writing the characters in a sentence.

- 10 The basic segmentation module processes the stored sub-segments and each new stroke using at least eight different threshold levels for the centroid distances, ranging from small to large distances, for every new stroke. This allows the process to produce a number of segments from each character which each provide a segment hypothesis. At the end of step 6 for each new stroke, any new hypothesis formed by the basic  
15 segmentation module is determined at step 42, and any new hypothesis is passed to the character recognition module at step 44. The character recognition module, at step 44, executes character recognition for the segments it receives and produces a segment hypothesis list, each record in the list representing a unique segment, a possible character, and a character probability value. Processing then returns to step  
20 4 to determine if a new stroke is received.

The use of multiple thresholds and the creation of multiple hypotheses is particularly advantageous as character sizes can range from being very small, where a small threshold is required, to very large to where a large threshold is required. Also the  
25 distances between characters and strokes within a character can vary significantly. The use of the multiple thresholds enables the variations to be catered for, and the correct determination made from a number of possibly valid hypotheses. For instance, the character 46, as shown in Figure 3b, can give rise to three possible segments 48, 50 and 52, as shown in Figure 5.

30

When the recognition process 2 determines at step 4 that the writer has paused for a

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predetermined time, indicating all strokes of a sentence have been written, processing proceeds to a segmentation post-processing module at step 54. The segmentation post-processing module performs further processing on the segments in the segment hypothesis list produced by the basic segmentation module, in order to merge some of the adjacent segments. This is required to cater for the situation where the centroid distance between segments, which contain radicals of a character, is larger than the centroid distance between segments containing characters. When this occurs, a large threshold value used by the basic segmentation module groups together two characters, whereas a smaller threshold value splits the characters into radicals. This is illustrated in Figure 7 for two characters 56 and 58 which are separated by a distance which is smaller than the distance between the radicals 60, 62, 64 and 66 of each character.

The segmentation post-processing module merges adjacent segments if the merged segment has a bounding box with a height to width ratio that is closer to the value of one. The basis for this rule is that ideographic characters are usually square in nature. Therefore if the result of merging two adjoining segments makes the merged segment more square in shape, then the post-processing module accepts this as a valid new segment. This is particularly advantageous for recognising characters which are written closer together. With reference to the characters 56 and 58 shown in Figure 7, the post-processing module would produce two new segments 68 and 70 which have a height to width ratio closer to the value of one, as shown in Figure 8. Character recognition is then performed on the new segments, using the character recognition module, at step 72, and the character recognition module updates the segment list accordingly. The character recognition module which the recognition process uses is a module which executes the recognition process described in U.S. Patent Application No. 08/652,160, by G.H. Loudon, Y.M. Wu and J.A. Pittman, entitled "Methods and Apparatuses for Handwriting Recognition", herein incorporated by reference.

After the updated segment list has been formed with a character hypothesis for each segment, a lattice is generated at step 74 from the segment list based on the time

- 8 -

sequence of the segments, in order to provide a lattice which can then be decoded at step 76 by a search process described in International Patent Application No. PCT/SG97/00061, by Y.L. Chow, entitled "A Method of Continuous Language Recognition", herein incorporated by reference. The decoding process of step 76 finds  
5 the most likely character sequence of the completed sentence by using the character hypotheses, which have respective probability values, in combination with a language model. The decoding process produces recognised text, which can be displayed by the processing equipment.

- 10 The recognition process 2 is a real-time process which provides an accuracy of about 90% for character recognition without requiring any rules to be imposed on the method and style of writing, particularly with regard to the spacing between characters.

Many modifications will be apparent to those skilled in the art without departing from  
15 the scope of the present invention as hereinbefore described with reference to the accompanying drawings.

- 9 -

## CLAIMS:

1. A recognition process for handwritten characters, including:  
generating sub-segments representing at least one character stroke, said sub-  
5 segments each having a boundary enclosing the at least one stroke of the sub-  
segment;  
merging said sub-segments when the distance between centroids of the sub-  
segments are less than a predetermined threshold; and  
generating segments representing possible characters from said sub-segments.  
10
2. A recognition process as claimed in claim 1, including processing a new  
character stroke by including said new stroke in one of said sub-segments when said  
stroke is within the boundary of said one of said sub-segments and generating a new  
sub-segment including said new stroke when said new stroke is outside of said  
15 boundary.
3. A recognition process as claimed in claims 1 or 2, wherein generating one of  
said segments includes processing at least three previously generated sub-segments.
- 20 4. A recognition process as claimed in claim 3, wherein said merging step includes  
merging said sub-segments using a plurality of predetermined thresholds for said  
distance.
5. A recognition process as claimed in claim 4, wherein said thresholds represent  
25 a range of distance values.
6. A recognition process as claimed in claim 1, including merging adjacent  
segments which produce a merged boundary having a height to width ratio closer to  
one than the separate boundaries of the adjacent segments.

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7. A recognition process as claimed in claim 1, 2, 4, 5 or 6, including performing character recognition on said segments to generate a segment list representing said segments and respective possible characters associated with said segments.
- 5 8. A recognition process as claimed in claim 7, including generating a time sequence representation of said possible characters from said segment list and processing said time sequence representation using a language model to generate text representing recognised characters.
- 10 9. A recognition process as claimed in claim 1, wherein said generating and merging steps are executed in the real-time as character strokes are written.
10. A recognition apparatus having:
- means for inputting character strokes;
- 15 means for generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-segment;
- means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and
- 20 means for generating segments representing possible characters from said sub-segments.
11. A recognition apparatus as claimed in claim 10, including means for processing a new character stroke by including said new stroke in one of said sub-segments when
- 25 said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said boundary.
12. A recognition apparatus as claimed in claims 10 or 11, wherein said merging
- 30 means and said segment means process at least three previously generated sub-segments.



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13. A recognition apparatus as claimed in claim 12, wherein said merging means merges said sub-segments using a plurality of predetermined thresholds for said distance.
- 5 14. A recognition apparatus as claimed in claim 13, wherein said thresholds represent a range of distance values.
15. A recognition apparatus as claimed in claim 10, including means for merging adjacent segments which produce a merged boundary having a height to width ratio  
10 closer to one than the separate boundaries of the adjacent segments.
16. A recognition apparatus as claimed in claim 10, 11, 13, 14 or 15, including character recognition means for performing character recognition on said segments to generate a segment list representing said segments and respective possible  
15 characters associated with the segments.
17. A recognition apparatus as claimed in claim 16, including means for generating a time sequence representation of said possible characters from said segment list and for processing said time sequence representation using a language model to generate  
20 text representing recognised characters, and means for displaying said text.
18. A recognition apparatus as claimed in claim 11, having a segmentation unit which includes said sub-segment generating means, said merging means, said segment generating means and said new character stroke processing means, and  
25 which operates in real-time for each written character stroke.
19. A recognition module stored on a computer readable storage medium, having:  
means for generating sub-segments representing at least one character stroke,  
said sub-segments each having a boundary enclosing the at least one stroke of the  
30 sub-segment;

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means for merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

means for generating segments representing possible characters from said sub-segments.

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20. A recognition module as claimed in claim 19, including means for processing a new character stroke by including said new stroke in one of said sub-segments when said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said  
10 boundary.

21. A recognition module as claimed in claims 19 or 20, wherein said merging means and said segment means process at least three previously generated sub-segments.

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22. A recognition module as claimed in claim 21, wherein said merging means merges said sub-segments using a plurality of predetermined thresholds for said distance.

20 23. A recognition module as claimed in claim 22, wherein said thresholds represent a range of distance values.

24. A recognition module as claimed in claim 19, including means for merging adjacent segments which produce a merged boundary having a height to width ratio  
25 closer to one than the separate boundaries of the adjacent segments.

25. A recognition module as claimed in claim 19, 20, 22, 23 or 24, including character recognition means for performing character recognition on said segments to generate a segment list representing said segments and respective possible  
30 characters associated with the segments.

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26. A recognition module as claimed in claim 25, including means for generating a time sequence representation of said possible characters from said segment list and for processing said time sequence representation using a language model to generate text representing recognised characters.

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27. A recognition module as claimed in claim 20, having a segmentation module which includes said sub-segment generating means, said merging means, said segment generating means and said new character stroke processing means, and which is executed in real-time for each written character stroke.

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28. A computer-readable medium containing executable instructions for performing the steps of:

generating sub-segments representing at least one character stroke, said sub-segments each having a boundary enclosing the at least one stroke of the sub-  
15 segment;

merging said sub-segments when the distance between centroids of the sub-segments are less than a predetermined threshold; and

generating segments representing possible characters from said sub-segments.

20 29. A computer-readable medium as claimed in claim 1, wherein the steps performed include processing a new character stroke by including said new stroke in one of said sub-segments when said stroke is within the boundary of said one of said sub-segments and generating a new sub-segment including said new stroke when said new stroke is outside of said boundary.

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30. A computer-readable medium as claimed in claim 28 or 29, wherein the step of generating one of said segments includes processing at least three previously generated sub-segments.

30 31. A computer-readable medium as claimed in claim 30, wherein said merging step includes merging said sub-segments using a plurality of predetermined thresholds for

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said distance.

32. A computer-readable medium as claimed in claim 31, wherein said thresholds represent a range of distance values.

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33. A computer-readable medium as claimed in claim 28, including the step of merging adjacent segments with produce a merged boundary having a height to width ratio closer to one than the separate boundaries of the adjacent segments.

10 34. A computer-readable medium as claimed in claims 28, 29, 31, 32 or 33, including the step of performing character recognition on said segments to generate a segment list representing said segments and respective possible characters associated with said segments.

15 35. A computer-readable medium as claimed in claim 34, including the step of generating a time sequence representation of said possible characters from said segment list and processing said time sequence representation using a language model to generate text representing recognised characters.

20 36. A computer-readable medium as claimed in claim 28, wherein said generating and merging steps are executed in the real-time as character strokes are written.